DOCKET NO.: MSFT-2568/307781.01 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard M. Shupak; Philip M. Lucido

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Confirmation No.: Not yet Assigned

Application No.: Not yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Herewith

Examiner: Not Yet Assigned

For: PROTECTION AGAINST RUNTIME FUNCTION ATTACKS

EXPRESS MAIL LABEL NO: EL969188897US DATE OF DEPOSIT: December 31, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for			
continued examination under § 1.114, no additional fee is required.			
In accordance with § 1.129(a), this Information Disclosure Statement is being			
filed in connection with [ ] the first or [ ] second After Final Submission,			
therefore:			
Certif	ication in Accordance with § 1.97(e) is attached; or		
The fee of \$180.00 as set forth in § 1.17(p) is attached.			
In accordance with § 1.97(c), this Information Disclosure Statement is being			
filed after the period set forth in § 1.97(b) above but before the mailing date of			
either a Final Action	under § 1.113 or a Notice of Allowance under § 1.311, or		
before an action that otherwise closes prosecution in the application, therefore:			
	Certification in Accordance with § 1.97(e) is attached;		
	or		
	The fee of \$180.00 as set forth in § 1.17(p) is attached.		
In accordance with	§ 1.97(d), this Information Disclosure Statement is being		
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of Allowance under § 1.311 but before, or simultaneously with, the payment			
of the Issue Fee, therefore included are: Certification in Accordance with §			
1.97(e); and the subr	nission fee of \$180.00 as set forth in § 1.17(p).		

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	enclosed herewith.						
	Copies of references listed on the attached Form PTO-1449 are						
	herew	ith					
	Copies of references listed on the attached Form PTO 1449 are not required to						
	be su	bmitted pursuant to the June 30, 2003 recent revisions to 37 CFR §					
	1.98(a)(2)(i).						
	EXCEPT THAT:						
		In view of the voluminous nature of references [list as appropriate],					
		and the likelihood that these references are available to the Examiner,					
		copies are not enclosed herewith.					
	In accordance with § 1.98(d), copies of the following reference						
		on the attached Form PTO-1449 are not enclosed herewith because					
	they were previously cited by or submitted to the U.S. Patent and						
		Trademark Office in patent application(s) for which a claim for priority					
	under 35 U.S.C.§ 120 have been made in the instant application:						
		Copies of references [list as appropriate] listed on the					
		attached Form PTO-1449 were previously cited by or submitted					
		to the Patent and Trademark Office in prior Application No.					
		, filed .					

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: December 31, 2003

Jonathan M. Waldman Registration No. 40,861

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Fori	n PTO-1449 Modified	Docket No. MSFT-2568/307781.01	Serial No. Not Yet Assigned					
	of Patent and Publications Cited by Applicant several sheets if necessary)	Applicant Richard M. Shupak; Philip M. Lucido						
	Department of Commerce ent and Trademark Office	Filing Date Herewith	Group Not Yet Assigned					
ОТН	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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	Kent, S.T., "Encryption-Based Protection for Interactive User/Computer Communication", <i>Proceedings of the 5<sup>th</sup> Data Communications Symposium</i> , 1977, 5-7-5-13							
	Pietrek, M., "A Crash Course on the Depths of WIN32 <sup>TM</sup> Structured Exception Handling", <i>Microsoft Systems Journal</i> , January 1997, 19 pages							
1	Ramsey, N., "A Single Intermediate Language That Supports Multiple Implementations of Exceptions", ACM Sigplan Notices, Proceedings of the ACM SIGPLAN '00 Conference on Programming Language Design and Implementation, May 2000, 35(5), 285-298							
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EXAMINER	DATE CONSIDERED © 2003 WW							

		PTO-1449 Modified	Docket No. MSFT-2568/307781.01	Serial No. Not Yet Assigned				
	C	Patent and Publications Cited by Applicant veral sheets if necessary)	Applicant Richard M. Shupak; Philip M. Lucido					
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	13	Zuccherato, R., "RFC-2785: Methods for Avoiding the "Small-Subgroup" Attacks on the Diffie-Hellman Key Agreement Method for S/MIME", Network Working Group-Entrust Technologies, 2000, http://delivery.acm.org,11 pages						
EXAMINER			DATE CONSIDERED					